

10/535448



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF: Wolfgang Clemens et al.

SERIAL NO: 10/535,448 GROUP ART UNIT: Not assigned

FILED: May 19, 2005 EXAMINER: Not assigned

: CUSTOMER NO. 27162

FOR: Organic Electronic Component Comprising A Semiconductive Functional Layer and a Method for Producing Said Component

ATTY/DKT NO.: 411000-131

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**DISCLOSURE STATEMENT UNDER 37 CFR 1.56**

SIR:

This paper is to bring to the attention of the PTO the following commonly owned copending U.S. applications, all of which are related in different respects to organic electronic devices and/or method of making such devices such as transistors, diodes, integrated circuits and the like. Many of these applications also have one or more common inventors. The enclosed PTO 1449 lists these applications. It is respectfully requested that the Examiner consider and make of record all of the cited applications listed on the attached PTO 1449.

<u>Application No.</u>	<u>Title</u>	<u>Inventors</u>	<u>Atty. Dkt. No.</u>
10/332,140	Method for the Production and Configuration of Organic Field-Effect Transistors (OFET)	Adolf Bernds et al.	411000-103

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS/

10/344,951	Organic Field-Effect Transistor (OFET), A Production Method Therefor, An Integrated Circuit Constructed From the Same and Their Uses	Adolf Bernds et al.	411000-99
10/362,932	Organic Field Effect Transistor, Method for Structuring an OFET and Integrated Circuit	Adolf Bernds et al	411000-110
10/380,113	Organic Rectifier, Circuit, RFID Tag and Use of an Organic Rectifier	Adolf Bernds et al.	411000-106
10/380,206	Organic Memory, Identification Marker (RFID-TAG) with Organic Memory and Uses of an Organic Memory	Adolf Bernds et al.	411000-102
10/381,032	Electrode and/or Conductor Track for Organic Components and Production Method Thereof	Adolf Bernds et al.	411000-105
10/433,959	Organic Field Effect Transistor, Method For Structuring an OFET and Integrated Circuit	Adolf Bernds	411000-108
10/433,961	Device For Detecting and/or Transmitting at Least One Environmental Influence, Method for Producing Said Device and Use Thereof	Wolfgang Clemens et al.	411000-111
10/467,636	Organic Field Effect Transistor With a Photostructured Gate Dielectric, Method for the Production and Use Thereof in Organic Electronics	Adolf Bernds et al.	411000-104
10/473,050	Device With At Least Two Organic Electronic Components and Method for Producing the Same	Adolf Bernds et al.	411000-113
10/479,234	Organic Field Effect Transistor, Method for Production and Use Thereof in the Assembly of Integrated Circuits	Adolf Bernds et al.	411000-101
10/479,238	Method For Producing Conductive Structures by Means of Printing Technique, and Active Components Produced Therefrom For Integrated Circuits	Adolf Bernds et al.	411000-100
10/492,922	Insulator for An Organic Electronic Component	Erwann Guillet et al.	411000-115
10/492,923	Electronic Unit, Circuit Design for the Same and Production Method	Wolfgang Clemens et al.	411000-114
10/498,610	Organic Field Effect Transistor with Offset Threshold Voltage and the Use Thereof	Walter Fix et al.	411000-119
10/508,640	Logic Component Comprising Organic Field Effect Transistors	Walter Fix et al.	411000-120

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS/

10/508,737	Device and Method for Laser Structuring Functional Polymers and	Adolf Bernds et al.	411000-121
10/517,750	Substrate for an Organic Field Effect Transistor, Use of the Substrate, Method of Increasing the Charge Carrier Mobility and Organic Field Effect Transistor (OFET)	Wolfgang Clemens et al.	411000-122
10/523,216	Electronic Component Comprising Predominantly Organic Functional Materials And A Process For The Production Thereof	Adolf Bernds et al.	411000-123
10/523,487	Electronic Device	Wolfgang Clemens et al.	411000-124
10/524,646	Organic Component for Overvoltage Protection and Associated Circuit	Walter Fix et al.	411000-127
10/533,756	Organic Electronic Component with High-Resolution Structuring and Process for the Production Thereof	Wolfgang Clemens et al.	411000-128
10/534,678	Measuring Apparatus for Determining an Analyte in a Liquid Sample	Wolfgang Clemens et al.	411000-129
10/535,448	Organic Electronic Component Comprising Semi-Conductive Functional Layer and Method for Producing Said Component	Wolfgang Clemens et al.	411000-131
10/535,449	Organic Electronic Component Comprising the Same Organic Material for at Least Two Functional Layers	Adolf Bernds et al.	411000-132
10/344,926	An Electronic Circuit Having an Encapsulated Organic-Electronic Component, and a Method for Making an Encapsulated Organic-Electronic Component	Wolfgang Clemens et al.	411000-133
10/541,815	Organo-Resistive Memory Unit	Axel Gerlt et al.	411000-136
10/541,956	Board or Substrate for an Organic Electronic Device and Use Thereof	Wolfgang Clemens et al.	411000-137
10/541,957	Organic Field Effect Transistor And Integrated Circuit	Walter Fix et al.	411000-138
10/543,561	Organic Storage Component and Corresponding Triggering Circuit	Wolfgang Clemens et al.	411000-139
10/542,678	Organic Electronic Component and Method For Producing Organic Electronic Devices	Adolf Bernds et al.	411000-140
10/542,679	Use of Conductive Carbon Black/Graphite Mixtures for the Production of Low-Cost Electronics	Adolf Bernds et al.	411000-141

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS/

The Commissioner is authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

**FIRST CLASS CERTIFICATE**

I hereby certify that this correspondence is being deposited on the date set forth below with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to:

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Janice Spedel Sept. 21, 2005  
Date

#262012

Respectfully submitted,  
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PTO/SB/08a  
(08-03)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/535,448
				Filing Date	May 19, 2005
				First Named Inventor	Wolfgang Clemens
				Group Art Unit	Not assigned
				Examiner Name	Not assigned
Sheet	1		2	Attorney Docket Number	411000-131

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number-Kid Code <sup>2</sup> (if known)	Publication- Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	103	US-6,852,583	10/09/2003	Adolf Bernds et al.	See accompanying Disclosure Statement filed herewith
	102	US-6,903,958	03/21/2002	Adolf Bernds et al.	
	133	US-10/344,926	02/12/2004	Adolf Bernds et al.	
	99	US-10/344,951	02/12/2004	Adolf Bernds et al.	
	110	US-10/362,932	10/02/2003	Adolf Bernds et al.	
	106	US-10/380,113	09/25/2003	Adolf Bernds et al.	
	105	US-10/381,032	02/12/2004	Adolf Bernds et al.	
	108	US-10/433,959	04/01/2004	Adolf Bernds	
	111	US-10/433,961	04/01/2004	Wolfgang Clemens et al.	
	109	US-10/451,108	05/13/2004	Mark Giles et al.	
	104	US-10/467,636	11/04/2004	Adolf Bernds et al.	
	113	US-10/473,050	05/20/2004	Adolf Bernds et al.	
	101	US-10/479,234	12/30/2004	Adolf Bernds et al.	
	100	US-10/479,238	10/20/2004	Adolf Bernds et al.	
	115	US-10/492,922	03/03/2005	Erwann Buillet et al.	
	114	US-10/492,923	12/23/2004	Wolfgang Clemens et al.	
	119	US-10/498,610	N/A	Walter Fix et al.	
	120	US-10/508,640	N/A	Walter Fix et al.	
	121	US-10/508,737	N/A	Adolf Bernds et al.	
	122	US-10/517,750	N/A	Wolfgang Clemens et al.	
	123	US-10/523,216	N/A	Adolf Bernds et al.	
	124	US-10/523,487	N/A	Wolfgang Clemens et al.	
	127	US-10/524,646	N/A	Walter Fix et al.	
	128	US-10/533,756	N/A	Wolfgang Clemens et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS/

	129	US-10/534,678	N/A	Wolfgang Clemens et al.	
	131	10/535,448	N/A	W. Clemens et al.	
	132	10/535,449	N/A	Walter Fix et al.	
	136	US-10/541,815	N/A	Axel Gerft et al.	
	137	US-10/541,956	N/A	Wolfgang Clemens et al.	
	138	US10/541,957	N/A	Walter Fix et al.	
	139	US-10/543,561	N/A	Wolfgang Clemens et al.	
	140	US-10/542,678	N/A	Adolf Bernds et al.	
	141	US-10/542,679	N/A	Adolf Bernds et al.	
Examiner Signature				Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF: Wolfgang Clemens

SERIAL NO: 10/535,448

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EXAMINER: Not assigned

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ATTY/DKT NO.: 411000-131

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Pursuant to 37 C.F.R. §1.56(a), Applicant(s) hereby cite(s) the enclosed documents listed on the attached copy of Form PTO-1449 which are believed to be material to the patentability of the above-identified application.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 indicated below:

X §1.97(b)

This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits. No fee or statement is required.

\_\_\_\_ §1.97(c) This Information Disclosure Statement is filed after the period specified in paragraph (b) above, but before the mailing date of either:

- (1) A Final Action or under 37 CFR §1.113; OR

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(2) A Notice of Allowance under 37 CFR §1.311; AND

is accompanied by either: (check one)

\_\_\_\_\_ The statement as specified in 37 CFR §1.97(e) set out below; OR

\_\_\_\_\_ The fee of \$180.00 under 37 CFR §1.17(p).

\_\_\_\_§1.97(d) This Information Disclosure Statement is filed after the mailing date of either:

(1) a Final Action or under 37 CFR §1.113; OR

(2) A Notice of Allowance under 37 CFR §1.311;

BUT filed on or before payment of the Issue Fee; AND

is accompanied by:

(1) The statement as specified in 37 CFR §1.97(e) as set forth below; AND

(2) Petition is hereby made under 37 CFR §1.97(d) for consideration of this Information Disclosure Statement; AND,

(3) The petition fee of \$180.00 set out in 37 CFR §1.17(i).

\_\_\_\_§1.97(e) The undersigned Attorney hereby states that:


\_\_\_\_\_ each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement;  
or

\_\_\_\_\_ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

The relevancy of the cited patents, the foreign language and other documents listed on the attached PTO 1449 is that these documents were cited in the copending applications noted in the accompanying Disclosure Statement, or were cited in a foreign application that may have subject matter generally related to the subject matter of one or more of the cited copending applications.




The Commissioner is authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

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 Janice Speidel	Sept. 21, 2005 Date

#269758

Respectfully submitted,  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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Sheet	1	Of	11	Attorney Docket Number	411000-131

U.S. PATENT DOCUMENTS					
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		US-2002/0022284	02-21-2002	Heeger	See IDS Letter and Disclosure Statement filed herewith for relevancy
		US-2002/0053320	05-09-2002	Duthaler	
		US-2002/0056839	05-16-2002	Joo et al.	
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		US-2004/0211329	10-00-2004	Funahata et al.	
		US-3,512,052	12-12-1970	MacIver et al.	
		US-3,769,096	10-30-1973	Ashkin	
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		US-4,442,019	04-19-1984	Marks	
		US-4,926,052	05-15-1990	Hatayama	
		US-4,865,197	09-12-1989	Craig	
		US-5,173,835	12-22-1992	Cornett et al.	
		US-5,206,525	04-27-1993	Yamamoto et al.	

/Asok Kumar Sarkar/ (01/31/2008)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS/

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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				First Named Inventor	Wolfgang Clemens
				Group Art Unit	Not assigned
				Examiner Name	Not assigned
Sheet	2		11	Attorney Docket Number	411000-131

		US-5,259,926	11-09-1993	Kuwabara et al.	
		US-5,321,240	06-14-1994	Takihira	
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		US-5,546,889	08-20-1999	Wakita et al.	
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		US-6,060,338	05-09-2000	Tanaka et al.	
		US-6,083,104	07-04-2000	Choi Kei Fung	
		US-6,087,196	07-11-2000	Sutrm et al.	
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/Asok Kumar Sarkar/ (01/31/2008)

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /AS/

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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				Filing Date	May 19, 2005
				First Named Inventor	Wolfgang Clemens
				Group Art Unit	Not assigned
Examiner Name	Not assigned				
Sheet	3		11	Attorney Docket Number	411000-131

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		US-6,335,539	10-19-1999	Dimitrakopoulos et al.	
		US-6,340,822	01-22-2002	Brown et al.	
		US-6,403,396	06-11-2002	Gudesen et al.	
		US-6,429,450	08-06-2002	Mutsaers et al. et al.	
		US-6,517,955	02-00-2005	Jacobsen et al.	
		US-6,852,583	02-08-2005	Bemds et al.	
		US-6,903,958	06-07-2005	Bemds et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initial*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)	Publication- Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		DE 33 38 597	05-02-1985	GAO Gesellschaft		
		DE 100 06 257	09-14-2000	IBM		
		DE 100 12 204 (title page only)	09-20-2001	Siemens		
		DE 100 33 112 (title page only)	01-24-2002	Siemens		
		DE 100 43 204	04-04-2002	Siemens		
		DE 100 45 192	04-04-2002	Siemens AG		
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		DE 199 21 024 (title page only)	11-16-2000	Eichelmann		

/Asok Kumar Sarkar/ (01/31/2008)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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Sheet	4		11	Attorney Docket Number	411000-131

		DE 199 33 757	01-25-2001	Giesecke & Devrient		
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		EP 0 128 529	12-19-1984	BASF		
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		EP 0 685 985	12-06-1995	Hitachi Metals		X
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